

O I P E
JUN 12 2001
UNITED STATES PATENT AND TRADEMARK OFFICECOMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/748,036	03/16/2001	Guadalupe M. Rojas	0217.99

CONFIRMATION NO. 4213

25712

USDA-ARS-OFFICE OF TECHNOLOGY TRANSFER
NATIONAL CTR FOR AGRICULTURAL UTILIZATION RESEARCH
1815 N. UNIVERSITY STREET
PEORIA, IL 61604

FORMALITIES LETTER



OC00000006109508

Date Mailed: 05/23/2001

NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, doesnot include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may,however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a)accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- A substitute specification in compliance with 37 CFR 1.52 because:
 - Papers contain improper margins. *Each sheet must have a left margin of at least 2.5 cm (1") and top, bottom and right margins of at least 2.0 cm (3/4")*

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

P.C. 0217.99



PATENT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of)
)
GUADALUPE M. ROJAS ET AL.) ATTN: Initial Patent
) Examination Division
Urea and Nitrogen Based Compounds)
as Feeding Stimulants/Aggregants)
And Masking Agents of Unpalatable)
Chemicals For Subterranean Termites)
)
Serial No. 09/748,036)
)
Filed December 22, 2000)

The Honorable
The Assistant Commissioner of Patents
Sir:

EXTENSION OF TIME

It is respectfully requested that the period for response to the Patent & Trademark Office action dated March 26, 2001, be extended one (1) month so as to expire on June 26, 2001.

The Commissioner is authorized to charge the 1-month extension fee of \$110.00 to Deposit Account No. 01-0455. Any deficiencies in fees may be charged to Deposit Account 01-0455.

02/21/2003 UEDUVIJE 00000007 010455 09748036

01 FC:1251 110.00 CH

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an Envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

June 7, 2001
(Date of Deposit)

Randall E. Deck
Name of Depositor

Signature

6/7/01
Date of Signature

FEE TRANSMITTAL FY2001



Complete If Known:

Application Number: 09/748,036
Filing Date: December 22, 2000
First Named Inventor: Guadalupe M. Rojas
Examiner Name:
Group/Art Unit:
Attorney Docket Number: 0217.99

Total Amount of Payment \$ 110.00

Method of Payment

1. The Commissioner is hereby authorized to charge any fees set forth in CFR §§ 1.116 to 1.118 to the Deposit Account listed below for the entire pendency of the application, or credit any overpayment to this account. A duplicate copy of this sheet is enclosed:

Deposit Account Number: 01-0455
Deposit Account Name: USDA-ARS-OTT

Fee Calculation:

1. Basic Filing Fee

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710.00	Utility Filing Fee	
106	320.00	Design Filing Fee	
107	490.00	Plant Filing Fee	
108	710.00	Reissue Filing Fee	
114	150.00	Provisional Filing Fee	
SUBTOTAL (1)			\$

2. Extra Claim Fees

	Extra Claims	Fee From Below	Fee Paid
Total Claims	-20**=	- X 18.00 =	-
Independent Claims	- 3**=	- X 80.00 =	-
Multiple Dependent	- X	=	-

** or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Fee Description
103	18.00	Claims in excess of 20
102	80.00	Independent claims in excess of 3
104	270.00	Multiple dependent claims, if not paid
109	80.00**	Reissue independent claims over original patent
110	18.00**	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$

FEE CALCULATION (Continued)

3. Additional Fees

Large Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130.00	Surcharge - late filing fee or oath	
127	50.00	Surcharge - late provisional filing fee or cover sheet	
139	130.00	Non-English specification	
147	2,520.00	For filing a request for examination	
112	920.00*	Requesting publication of SIR prior to Examiner action	
113	1,840.00*	Requesting publication of SIR after Examiner action	
115	110.00	Extension for reply within first month	110.00
116	390.00	Extension for reply within second month	
117	890.00	Extension for reply within third month	
118	1,390.00	Extension for reply within fourth month	
128	1,890.00	Extension for reply within fifth month	
119	310.00	Notice of Appeal	
120	310.00	Filing a brief in support of an appeal	
121	270.00	Request an oral hearing	
138	1,510.00	Petition to institute a public use proceeding	
140	110.00	Petition to revive - unavoidable	
141	1,240.00	Petition to revive - unintentional	
142	1,240.00	Utility issue fee (or reissue)	
143	440.00	Design issue fee	
144	600.00	Plant issue Fee	
122	130.00	Petitions to the Commissioner	
123	50.00	Petitions related to provisional applications	
126	240.00	Submission of Information Disclosure Statement	
581	40.00	Recording each patent assignment per property (times number of properties)	
146	690.00	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	690.00	For each additional invention to be examined (37 CFR § 1.129(b))	

Other Fee (specify) _____

Other Fee (specify) _____

* Reduced by Basic Filing Paid

SUBTOTAL (3) \$ 110.00

Submitted By						
Name (Print/Type)	RANDALL E. DECK		Registration No. (Attorney/Agent)	34,078	Telephone	309/681-6515 309/681-6688 FAX
Signature				Date	6/7/01	

Fees current as of 01/10/2000

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

June 7, 2001
(Date of Deposit)

Randall E. Deck
Name of Depositor

Signature

6/7/01
Date of Signature



Receipt
#5

PATENT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of)
)
GUADALUPE M. ROJAS ET AL.)
)
Urea and Nitrogen Based Compounds) ATTN: Initial Patent
as Feeding Stimulants/Aggregants) Examination Division
And Masking Agents of Unpalatable)
Chemicals For Subterranean Termites)
)
Serial No. 09/748,036)
)
Filed December 22, 2000)


The Honorable
The Assistant Commissioner of Patents
Sir:

CORRECTION OF FILING RECEIPT

The Commissioner's attention is respectfully directed to the enclosed Filing Receipt issued for the above-identified application. The receipt lists a filing date of March 16, 2001 (03/16/2001). The filing date should be listed as December 22, 2000. Enclosed is a copy of the Express Mail label as well as a copy of the Post Card Receipt. The filing date was listed correctly on the Notice to File Corrected Application Papers, Filing Date Granted, dated 03/26/2001.

Applicants would appreciate receiving a corrected receipt.

Respectfully submitted,



Randall E. Deck, Agent of Record
Registration No. 34,078

Peoria, IL

309/681-6515
FAX: 309/681-6688
202/720-5474 or -2421

Enclosure

1. Cpy of Filing Rcpt (1 pg.)
2. Cpy of Expr. Mail Lbl (1 pg.)
3. Cpy of Post Card Rcpt (1 pg.)

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an Envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on

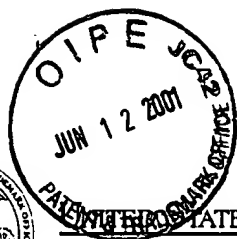
June 7, 2001

(Date of Deposit)

Randall E. Deck
Name of Depositor

Signature

6/7/01
Date of Signature



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/748,036	03/16/2001	1616	1182	0217.99	1	39	3

CONFIRMATION NO. 4213

25712
USDA-ARS-OFFICE OF TECHNOLOGY TRANSFER
NATIONAL CTR FOR AGRICULTURAL UTILIZATION RESEARCH
1815 N. UNIVERSITY STREET
PEORIA, IL 61604

UPDATED FILING RECEIPT

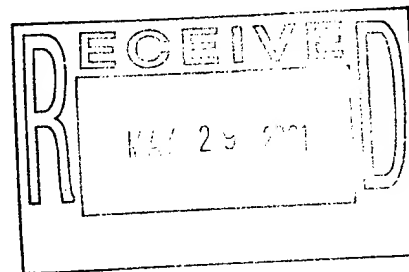
OC000000006109507

Date Mailed: 05/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Guadalupe M. Rojas, Metairie, LA;
Juan A. Morales-Ramos, Metairie, LA;
David R. Nimocks III, Fayetteville, NC;

**Domestic Priority data as claimed by applicant****Foreign Applications****If Required, Foreign Filing License Granted 03/26/2001****Projected Publication Date:** Request for Non-Publication Acknowledged**Non-Publication Request:** Yes**Early Publication Request:** No**Title**

Urea and nitrogen based compounds as feeding stimulants/aggregants and masking agents of unpalatable chemicals for subterranean termites

Preliminary Class

424

Data entry by : MENESES, MARIA

Team : OIPE

Date: 05/23/2001





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



CORRESPONDENCE RECEIPT
New Application

P.C. 0217.99 - Guadalupe M. Rojas et al.

- Utility Patent Application Transmittal (1 pg.)
- Fee Transmittal Form (2 orig.)
- Application Data Sheet (2 pgs.)
- Specification (21 pgs.)
- Formal Drawing (1 pg.)
- Executed Oath (1 pgs.)
- Power of Attorney (2 pgs.)
- Request & Certification Under 35 USC 122(b)(2)(B)(i)(1 pg.)
- Information Disclosure Statement (4 pgs.)
 - PTO/SB/08A (2 pgs.)
 - References (7)
- Assignment Recordation Cover Sheet (1 pg.)
 - Assignment (1 pg.)



Express EK 419816370 US - 12/22/00

